



UNITED STATES PATENT AND TRADEMARK OFFICE

OPIE
MAR 28 2002

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/838,565	04/19/2001	Wolfgang Tager	14034

CONFIRMATION NO. 8747

FORMALITIES LETTER



000000006202987

David E. Bruhn
Dorsey & Whitney LLP
220 South Sixth Street
Minneapolis, MN 55402-1498

COPY OF PAPERS
ORIGINALLY FILED

02/05/02

Date Mailed: 06/20/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
Applicant must submit \$ 710 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).
- The oath or declaration is missing.
A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 840.

A copy of this notice MUST be returned with the reply.

C-2144
Customer Service Center
Initial Patent Examination Division (703) 308-1202
PART 2 - COPY TO BE RETURNED WITH RESPONSE

Docket: 14034

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

First Named Inventor:	Wolfgang Tager	COPY OF PAPERS ORIGINALLY FILED 02/28/02	Examiner: Unknown Group Art Unit: 2635 COPY OF PAPERS ORIGINALLY FILED
Appln. No.:	09/838,565		
Filed:	April 19, 2001		
Title:	Powerline Data Communication		

MAR 28 2002

**FILING OF MISSING PARTS
OF APPLICATION UNDER 37 CFR 1.53(f)**

Box MISSING PARTS
 Commissioner for Patents
 Washington, D.C. 20231

I hereby certify that this document is being sent via First Class U.S. mail addressed to: Commissioner for Patents, Washington, D.C. 20231 on this 19 day of December 2001.

Frances E. Essel

(Signature)

Dear Sir:

In response to the Notice To File Missing Parts of Application—Filing Date Granted, mailed on June 20, 2001, pursuant to 37 CFR 1.53(f), the following documents are enclosed

1. Required copy of the Notice to File Missing Parts of Application;
2. Signed Declaration;
3. Fee Transmittal Sheet;
4. Petition for Extension of Time;
5. A check in the amount of \$ 435.00 (\$370.00 to cover the basic filing fee, and \$65.00 for the surcharge under 37 CFR 1.16(e)); and
6. Return Receipt Postcard.

Respectfully submitted,
 DORSEY & WHITNEY LLP

Date: Dec 19, 2001

By: David E. Bruhn
 David E. Bruhn (Reg. No. 36,762)
 Suite 1500
 50 South Sixth Street
 Minneapolis, MN 55402-1498
 (612) 340-6317

RECEIVED MAR 28 2002

UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE
 United States Patent and Trademark Office
 Address: COMMISSIONER OF PATENTS AND TRADEMARKS
 Washington, D.C. 20231
www.uspto.gov

Application Number	Filing Date	First Named Applicant	Atty. Docket No.
09/838,565	04/19/2001	Tager, Wolfgang	14034

David E. Bruhn
 Dorsey & Whitney LLP
 220 South Sixth Street
 Minneapolis MN 55402-1498

MAR 28 2002

Title: Powerline data communication

Date Mailed: 03/22/2002

Request for Substitute Papers

The papers filed on 02/25/02 are no longer in condition to become part of the permanent records of the United States Patent and Trademark Office (USPTO) for this application (37 CFR 1.52(a)) due to the United States Postal Service sanitization process.

The USPTO requests that applicant provide a copy of the above-identified papers (except for any U.S. or foreign patent documents submitted with the above-identified papers) with a statement that such copy is a complete and accurate copy of the above-identified papers (signing and returning a copy of this notice will provide such a statement). The reply to this letter should be submitted to the USPTO by facsimile at the number indicated 703-746-4060(OIPE).

Alternatively, the reply to this letter may be hand-carried to the Customer Service Window located in Room 1B03 of Crystal Plaza Building 2, Arlington, Virginia, 22202.

The USPTO **strongly** prefers that the reply to this letter be submitted by facsimile. However, if applicant cannot submit the reply to this letter by facsimile (or hand-delivery), the reply may be mailed to: Box Duplicate OIPE, U.S. Patent and Trademark Office, P.O. Box 2327, Arlington, VA 22202-2327.

This letter is not a notice under 37 CFR 1.251. However, failure to timely replay to this notice within two (2) weeks of the date of receipt of this letter may result in the USPTO issuing a notice under 37 CFR 1.251. A copy of this notice should be included with the reply.

The enclosed papers are a complete and accurate copy of the above-identified papers.

Name: DAVID E. BRUHN Registration No.: 36,762

Signature: DAVID E. BRUHN Date: 3-28-2002

0410

OPE

MAR 28 2002

DORSEY & WHITNEY LLP

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FAX COVER SHEET

The information contained in this facsimile message, if a client of this firm is a named addressee, or the message is otherwise intended for a client, is presumptively legally privileged and confidential information. If you are not a named addressee, or if there is any reason to believe that you may have received this message in error, (1) do not read the message below; (2) do not distribute or copy this facsimile; and (3) please immediately call us collect at the number of the sender below.

DATE: March 28, 2002 TOTAL # OF PAGES (INCLUDING THIS COVER SHEET): 10

TO: United States Patent and Trademark Office FAX #: 703-746-4060
FIRM NAME: United States Patent and Trademark Office TELEPHONE #: 703-308-0910

FROM: David E. Bruhn FAX #: (612) 340-8856

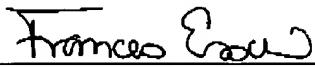
TELEPHONE #: (612) 340-6317 EMAIL: bruhn.david@dorseylaw.com

COMMENTS:

RE: U.S. Patent Application Serial No.: 09/838,565
Title: Powerline Data Communication
Date Filed: April 19, 2001

In response to the Request for Substitute Papers, Applicant submits herewith:

- 1) Copy of Request for Substitute Papers;
- 2) Copy of Response to Notice of Missing Parts;
- 3) Copy of Signed Declaration;
- 4) Copy of the Fee Transmittal;
- 5) Copy of the Petition for Extension of Time;
- 6) Copy of check stubs for payment of Extension of Time and Surcharge;
- 7) Copy of postcard indicating receipt of the Notice of Missing Parts filed on December 19, 2001.


Originator's Signature

Original will be sent via:

Mail

Messenger

Air Courier

Will not be sent

PLEASE CONTACT FRANCES L. ESSIEN AT (612) 340-7849 IF THIS TRANSMISSION IS INCOMPLETE OR CANNOT BE READ.

O I P E
MAR 28 2002

The mail stamp of the United States Patent and Trademark Office on this return receipt postcard acknowledges the filing on the date stamped of the following:

Missing Parts Transmittal (1pg); Copy of Notice of Missing Parts (1pg); Signed Declaration (3pgs); Fee Transmittal (1pg); Petition for Extension of Time (1pg); check for \$435.00; and a return postcard.

Via First Class Mail (with certificate of mailing)

First Named Inventor: Wolfgang Tager
Client Name: Polytrax Information Technology AG
Application No.: 09/838,565
Filing Date: April 19, 2001
Application Title: Powerline Data Communication
Attorney/LA Initials: DEB/file
Mailed: December 19, 2001
Docket No.: 14034
14034



*Priority date
4/19/01*

DORSEY & WHITNEY LLP 50 SOUTH SIXTH STREET, STE 1500 MINNEAPOLIS, MINNESOTA 55402-1498

CHECK NO.: 898134

INVOICE	DATE	INVOICE DESCRIPTION	AMOUNT PAID
F. Essien	12-18-01	23	435.00

MAR 28 2002

CHECK DATE

12/19/01

DORSEY & WHITNEY LLP

50 SOUTH SIXTH STREET, STE 1500
MINNEAPOLIS, MINNESOTA 55402-1498

CHECK NO. 898134

93-455929

usbank

Havre, MT 59401

CHECK AMOUNT

\$*****435.00

PAY FOUR HUNDRED THIRTY-FIVE AND 00/100 Dollars

TWO SIGNATURES REQUIRED ON CHECK
OVER \$200,000.00*Ken C. Snarey*

VOID AFTER SIX MONTHS

TO Director of the United States
 THE Patent and Trademark Office
 ORDER
 OF

10898134# 10929045540150080328931#

03/28/02 15:32 FAX 6123408856

DORSEY & WHITNEY LLP

005

DORSEY & WHITNEY LLP 50 SOUTH SIXTH STREET, STE 1500 MINNEAPOLIS, MINNESOTA 55402-1498

CHECK NO.: 898135

INVOICE	DATE	INVOICE DESCRIPTION	AMOUNT PAID
F. Essien	12-18-01	23	720.00

OIPB

MAR 28 2002

CHECK DATE

12/19/01

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Have, MT 59401

DORSEY & WHITNEY LLP

50 SOUTH SIXTH STREET, STE 1500
MINNEAPOLIS, MINNESOTA 55402-1498

CHECK NO.: 898135

93-455/929

CHECK AMOUNT

\$*****720.00

PAY: SEVEN HUNDRED TWENTY AND 00/100 Dollars

TWO SIGNATURES REQUIRED ON CHECK
OVER \$200,000.00

R. C. Snover

VOID AFTER SIX MONTHS

TO: Director of the United States
THE Patent and Trademark Office
ORDER
OF

1098135-1097904554-150080378931

Received from <6123408856> at 3/28/02 4:42:25 PM [Eastern Standard Time]

**APPLICATION
FEE TRANSMITTAL SHEET
(FOR FY 2002)**

Complete if Known	
Application No.	09/838,565
Filing Date	April 19, 2001
First Named Inventor	Wolfgang Tager
Group Art Unit	2635
Examiner Name	Unknown
Atty. Docket Number	14034

MAR 28 2002

METHOD OF PAYMENT (Check One)

1. The Commissioner is hereby authorized to charge indicated fees and credit any over payments to:

Deposit Account No.: 04-1420

Deposit Account Name: DORSEY & WHITNEY LLP

Charge any additional fee required under 37 C.F.R. 1.16 and 1.17

Applicant claims small entity status (see 37 C.F.R. 1.27)

2. Check Enclosed**FEE CALCULATION****1. BASIC FILING FEE**

Large Entity Small Entity

Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description
740	370	370	370	<input checked="" type="checkbox"/> Utility Filing Fee
330	165	165	165	<input type="checkbox"/> Design Filing Fee
740	370	370	370	<input type="checkbox"/> Reissue Filing Fee
160	80	80	80	<input type="checkbox"/> Prov. Filing Fee
Subtotal (1)		370.00		

2. EXTRA CLAIM FEES

Number Claims	Prior	Extra	Fee from Below	Fee Paid
Total	8	-	20 = 0	x 0.00 = 0.00
Indep.	2	-	3 = 0	x 0.00 = 0.00
Multiple Dependent Claims			x	=
				Subtotal (2) 0.00

Large Entity Small Entity

Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description
18	9	9	9	Claims in excess of 20
84	42	42	42	Independent claims in excess of 3
280	140	140	140	Multiple dependent Claim
84	42	42	42	Reissue independent claims over original patent
18	9	9	9	Reissue claims in excess of 20 and over original patent

3. ADDITIONAL FEES	
Large Entity	Small Entity
Fee Code	Fee Code
130	65
50	25
110	55
400	200
820	460
1,440	720
1,960	980
310	155
260	135
110	55
110	55
1,240	720.00
1,240	620
330	620
440	165
510	220
130	255
50	Plant Application filing fee
300	Petitions to the Commissioner
300	Petitions related to provisional applications
180	Publication fee for early, voluntary, or normal publication
40	Publication fee for republication
740	Submission of IDS
40	Recording each patent assignment per property (times number of properties)
370	Request for Continued Examination (RCE)
Other fee (specify)	
Subtotal (3) \$720.00	
Total Amount of Payment: \$1155.00	

Submitted by:

Name: David E. Bruhn Reg. No. 36,762

Telephone: (612) 340-6317

Signature: *David E. Bruhn*Date: *Dec 19, 2001*